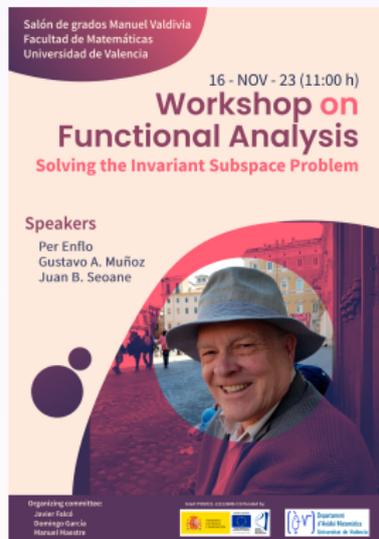


Norms of products of polynomials

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16 - NOV - 23 (11:00 h)

Workshop on Functional Analysis

Solving the Invariant Subspace Problem

Speakers
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Organizing committee:
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MADEMATICS 2023-2024 COLLABORATION

Logos: Spanish Ministry of Science and Innovation, European Union, and the organizing institutions.

A circular photograph of Gustavo Adolfo Muñoz Fernández, wearing a hat and smiling, is featured in the lower right quadrant of the poster.

THE FACTOR PROBLEM FOR POLYNOMIALS

General statement

If $(\mathcal{P}_k, \|\cdot\|_k)$ $k = a, b, c$ are three spaces of scalar polynomials with $P \cdot Q \in \mathcal{P}_c$ for all $P \in \mathcal{P}_a, Q \in \mathcal{P}_b$, we search for constants $\lambda, \mu > 0$ such that

$$\lambda \|P\|_a \|Q\|_b \leq \|P \cdot Q\|_c \leq \mu \|P\|_a \|Q\|_b$$

for all $P \in \mathcal{P}_a$ and $Q \in \mathcal{P}_b$.

THE FACTOR PROBLEM IN BANACH SPACES

Conventional notations

For a Banach space E :

- 1 $\mathcal{P}_n(E)$: Space of bounded polynomials on E of degree $\leq n$.
- 2 $\mathcal{P}({}^n E)$: Space of bounded n -homogeneous polynomials on E .
- 3 $\mathcal{P}_n(E)$ and $\mathcal{P}({}^n E)$ are endowed with the usual sup norm over the closed unit ball B_E of E :

$$\|P\| = \sup\{|P(x)| : x \in B_E\}.$$

PRODUCTS OF ARBITRARY POLYNOMIALS

Definition

If E is a B. s. over \mathbb{K} , $M_{\mathbb{K}}(n, k; E)$ denotes the best constant in

$$\|P\| \cdot \|Q\| \leq M_{\mathbb{K}}(n, k; E) \|P \cdot Q\|$$

for all $P \in \mathcal{P}_n(E)$ and $Q \in \mathcal{P}_k(E)$.

Theorem (Benítez, Sarantopoulos and Tonge – 1998)

If E is any complex Banach space then

$$M_{\mathbb{C}}(n, k; E) \leq \frac{(n+k)^{n+k}}{n^n k^k}.$$

Equality is attained for $E = \ell_1(\mathbb{C})$ and

$$P((x_j)_{j=1}^{\infty}) = x_1 \cdots x_n,$$

$$Q((x_j)_{j=1}^{\infty}) = x_{n+1} \cdots x_{n+k}.$$

PRODUCTS OF ARBITRARY POLYNOMIALS

Theorem (Araújo, Enflo, M., Rodríguez, Seoane (2021))

If E is any real B. s. then

$$M_{\mathbb{R}}(n, k; E) = \frac{1}{2} C_{n+k, n} C_{n+k, k},$$

where

$$C_{r, s} = 2^s \prod_{j=1}^s \left(1 + \cos \frac{(2j-1)\pi}{2r} \right) \quad \text{for } 1 \leq s \leq r.$$

Equality is attained when $E = \mathbb{R}$ and

P vanishes at the n roots of T_{n+k} closest to -1 .

Q vanishes at the other k roots of T_{n+k} .

PRODUCTS OF HOMOGENEOUS POLYNOMIALS

Definition

If E is a B. s. over \mathbb{K} , $M_{\mathbb{K}}^h(n, k; E)$ denotes the best constant in

$$\|P\| \cdot \|Q\| \leq M_{\mathbb{K}}^h(n, k; E) \|P \cdot Q\|$$

for all $P \in \mathcal{P}(^n E)$ and $Q \in \mathcal{P}(^k E)$.

Theorem (Pinasco – 2012)

If H is a complex Hilbert space then

$$M_{\mathbb{C}}^h(n, k; H) = \sqrt{\frac{(n+k)^{n+k}}{n^n k^k}}.$$

Equality is attained for $H = \ell_2^2(\mathbb{C})$ and

$$P(z_1, z_2) = z_1^n \quad \text{and} \quad Q(z_1, z_2) = z_2^k.$$

PRODUCTS OF HOMOGENEOUS POLYNOMIALS

Theorem (Carando, Pinasco & Rodríguez (2013))

If $1 < p < 2$ then

$$M_{\mathbb{C}}^h(n, k; L_p(\mu)) = \sqrt[p]{\frac{(n+k)^{n+k}}{n^n k^k}}.$$

Equality is attained for $\ell_p^2(\mathbb{C})$ and

$$P(z_1, z_2) = z_1^n \quad \text{and} \quad Q(z_1, z_2) = z_2^k.$$

PRODUCTS LINEAR FORMS

Definition (Linear polarization constants)

If E is a B. s. over \mathbb{K} then $c_{\mathbb{K}}(m; E)$ denotes the best constant in

$$\|L_1\| \cdots \|L_m\| \leq c_{\mathbb{K}}(m; E) \|L_1 \cdots L_m\|$$

for all $L_1, \dots, L_m \in E^*$.

Theorem

If E is a B. s. over \mathbb{K} with $\dim(E) \geq m$ then

$$c_{\mathbb{K}}(m; E) \leq m^m$$

with equality for $E = \ell_1(\mathbb{K})$ and $L_k((x_j)_{j=1}^{\infty}) = x_k$, $1 \leq k \leq m$.

- Benítez, Sarantopoulos and Tonge (1998) for $\mathbb{K} = \mathbb{C}$.
- Révész and Sarantopoulos (2004) for $\mathbb{K} = \mathbb{R}$.

LINEAR POLARIZATION CONSTANTS

Theorem (Arias de Reyna – 1998)

If H is a complex Hilbert space with $\dim(H) \geq m$ then

$$c_{\mathbb{C}}(m; H) = m^{\frac{m}{2}}.$$

Equality is attained for

$$L_k(x) = \langle a_k, x \rangle \quad (1 \leq k \leq m)$$

where $\{a_1, \dots, a_m\}$ is orthonormal.

LINEAR POLARIZATION CONSTANTS

On the calculation of $c_{\mathbb{R}}(m, \ell_2)$

- It has been conjectured that $c_{\mathbb{R}}(m, \ell_2) = m^{\frac{m}{2}}$.
- Proved for $m \leq 5$: Benítez, Sarantopoulos and Tonge (1998).
- Proved for $m \leq 14$. Pinasco (2022).
- $c_{\mathbb{R}}(m, \ell_2) \leq \left(\frac{3\sqrt{3}}{e}m\right)^{\frac{m}{2}}$, where $\frac{3\sqrt{3}}{e} \approx 1.9115$: Frenkel (2008).
- $c_{\mathbb{R}}(m, \ell_2) \leq m2^{m/4} \cdot m^{\frac{m}{2}} = m(\sqrt{2}m)^{\frac{m}{2}}$ for sufficiently large m : M. Sarantopoulos and Seoane (2010).

A FEW INSTANCES OF INTEREST

Common choices for the polynomial spaces

- 1 Polynomials on the real line of degree at most n and m and coefficients in \mathbb{K} .
- 2 Polynomials on the complex plane of degree at most n and m and coefficients in \mathbb{K} .
- 3 Polynomials in several variables of degree at most n and m and with coefficients in \mathbb{K} .
- 4 Even infinite series.

Common choices for the norms

- 1 Sup norm over $[-1, 1]$ or \mathbb{D} .
- 2 L_p like norms.
- 3 The ℓ_p norm of the coefficients.
- 4 Lacunary norms.

POLYNOMIALS IN SEVERAL VARIABLES

Definition

$$P(x) = \sum_{|\alpha| \leq n} a_\alpha x^\alpha, \quad x \in \mathbb{C}^N, \quad 1 \leq p < \infty.$$

$$\textcircled{1} \quad |P|_p = \left(\sum_{|\alpha| \leq n} |a_\alpha|^p \right)^{\frac{1}{p}}.$$

$$\textcircled{2} \quad |P|_\infty = \max\{|a_\alpha| : |\alpha| \leq n\}.$$

$$\textcircled{3} \quad [P]_p = \left(\sum_{|\alpha| \leq n} \left(\frac{n!}{\alpha!}\right)^{p-1} |a_\alpha|^p \right)^{\frac{1}{p}}.$$

$$\textcircled{4} \quad \|P\|_p = \left(\int_0^{2\pi} \cdots \int_0^{2\pi} |P(e^{i\theta_1}, \dots, e^{i\theta_N})|^p \frac{d\theta_1}{2\pi} \cdots \frac{d\theta_N}{2\pi} \right)^{\frac{1}{p}}.$$

$$\textcircled{5} \quad \|P\|_\infty = \sup\{|P(e^{i\theta_1}, \dots, e^{i\theta_N})| : \theta_1, \dots, \theta_N \in \mathbb{R}\}.$$

Problem

Estimate the best λ, μ in $\lambda \|P\| \cdot \|Q\| \leq \|P \cdot Q\| \leq \mu \|P\| \cdot \|Q\|$ for various combinations of the norms above.

POLYNOMIALS IN SEVERAL VARIABLES

Definition (Concentration)

$P \in \mathcal{P}_n(\mathbb{C}^N)$ has concentration $d \in (0, 1]$ at degree k if

$$|P|_k|_1 = \sum_{|\alpha| \leq k} |a_\alpha| \geq d|P|_1.$$

Theorem (Enflo – 1987)

There is $\lambda(d_1, d_2, n', k') > 0$ such that for every $P \in \mathcal{P}_n(\mathbb{C}^N)$ with concentration d_1 at degree n' and every $Q \in \mathcal{P}_k(\mathbb{C}^N)$ with concentration d_2 at degree k' we have

$$|P \cdot Q|_1 \geq \lambda|P|_1 \cdot |Q|_1.$$

ACTA MATHEMATICA

On the invariant subspace problem for Banach spaces

by

PER ENFLO

*Institute Mittag-Leffler
Djursholm, Sweden**Royal Institute of Technology
Stockholm, Sweden*

HOMOGENEOUS POLYNOMIALS IN SEVERAL VARIABLES

Theorem (Enflo – 1987)

There is $\lambda(n, k) > 0$ such that for $P \in \mathcal{P}(^n\mathbb{C}^N)$ and $Q \in \mathcal{P}(^k\mathbb{C}^N)$,

$$|P \cdot Q|_1 \geq \lambda |P|_1 \cdot |Q|_1.$$

Theorem (Beauzamy, Bombieri, Enflo, Montgomery – 1990)

If P and Q are homogeneous of degree n and k and $1 \leq p \leq \infty$:

$$|P \cdot Q|_p \leq 2^{\frac{n+k}{p^*}} |P|_p \cdot |Q|_p,$$

$$[P \cdot Q]_p \geq \sqrt{\frac{(n+k)!}{n!k!}} [P]_p \cdot [Q]_p.$$

POLYNOMIALS IN ONE VARIABLE

Definition (Lacunary sets)

- (a) The 0-lacunary sets are of the form $\{k\}$ with $k \in \mathbb{N}$.
- (b) Given $k \in \mathbb{N}$ and $E \subset \mathbb{N}$, E is k -lacunary if for every positive integer m , $(m + E) \cap E$ is contained in a $(k - 1)$ -lacunary set.

The set of all k -lacunary subsets of \mathbb{N} is denoted by Ω_k .

Definition (Polynomial lacunary norm)

$$\|h\|_{k-lac} = \sup_{E \in \Omega_k} \|h|_E\|_1 \quad \text{for } h = \sum_{j \geq 0} h_j x^j, \quad h|_E(x) = \sum_{j \in E} h_j x^j.$$

Proposition

$$\|q\|_1 = \lim_{k \rightarrow \infty} \|q\|_{k-lac} \geq \cdots \geq \|q\|_{k-lac} \geq \cdots \geq \|q\|_{0-lac} = \|q\|_\infty.$$

POLYNOMIALS IN ONE VARIABLE

Theorem (Araújo, Enflo, M., Rodríguez, Seoane – 2021)

Given n, C, K, i , and $Q > 1$, there is a $\beta = \beta(n, C, K, Q, i) > 0$ such that for all polynomials h and q satisfying

$$\begin{aligned} |h|_{i-\text{lac}} &\leq Q |h_0|, \\ |h|_1 &\leq K |h|_{i-\text{lac}}, \\ |q|_1 &\leq C |q|_n, \end{aligned}$$

where $h_0 \neq 0$ is the independent term of h , we have

$$|hq|_{i-\text{lac}} \geq \beta(n, C, K, Q, i) |h|_1 |q|_1.$$

Thank you for your attention